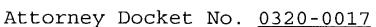
PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 #U:3. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to resoond to a collection of information unless it displays a valid OMB control number. Application Number 10/015,868 **TRANSMITTAL** Filing Date December 12, 2001 **FORM** First Named Inventor Michael D. Hooven Art Unit 3739 (to be used for all correspondence after initial filing) **Examiner Name** Rosiland S. Kearney Attorney Docket Number 0320-0017 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation **Status Letter** Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Other Enclosures: Response to Missing Parts/ Incomplete Application - 1 U.S. Patent - \$180.00 IDS fee, Check No. Response to Missing Parts - Certificate of Mailing under 37 CFR 1.52 or 1.53 TECHNOLOGY CENTER NO 700 - Return-Receipt Postcard SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Gary W. McFarron, Esq. Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as fix to assign all in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Express EV321714615US Typed or printed name May I. Casimiro Date W April 1, 2004 Signature This collection of information is required by \$7 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



# APR 0 1 200% PADEMARKS

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re Application of:           |  |
|---------------------------------|--|
| Michael D. Hooven               | ) CERTIFICATE OF MAILING BY "EXPRESS MAIL"  "Express Mail" Mailing Label No.: EV321714615US  Date of Deposit April 1, 2004                               |
| Serial No.: 10/015,868          | I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box                           |
| Filed: December 12, 2001        | Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 |
| Group Art No.: 3739             | ) NAME May 1. Casimiro ) SIGNATURE May 0. Asimon   |
| Examiner: Rosiland S. Kearney   | SIGNATURE V. COMMAN  |
| For: TRANSMURAL ABLATION DEVICE | )  |

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

WITH THIN ELECTRODES

RECEIVED
APR 0 7 2004

TECHNOLOGY CENTER H3700

# Certificate of Mailing Under 37 CFR 1.10

I hereby certify that the correspondence listed below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, **Label No. EV321714615US** under 37 CFR 1.10 on **April 1, 2004** and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

- 1. Transmittal Form PTO/SB/21 (1 sheet);
- 2. Fifth Supplemental IDS (2 sheets);
- 3. 1 U.S. Patent;
- 3. Certificate of Mailing (1 sheet); and
- 4. Return-Receipt Postcard.

| Name:      | May I. Casimiro |
|------------|-----------------|
| Signature: | Kay I. Casinis  |



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re Application of:                                |   |
|--|---|
| Michael D. Hooven                                    | CERTIFICATE OF MAILING BY "EXPRESS MAIL"  |
| Serial No.: 10/015,868                               | "Express Mail" Mailing Label No.: <u>EV321714615US</u>  |
| Filed: December 12, 2001                             | ) Date of Deposit April 1, 2004  ) I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Postal Service"   |
| Group Art No.: 3739                                  | <ul> <li>United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O Box 1450, Alexandria, VA 22313-1450</li> </ul> |
| Examiner: Rosiland S. Kearney                        | ) NAME <u>May I. Casimiro</u>   |
| For: TRANSMURAL ABLATION DEVICE WITH THIN ELECTRODES | SIGNATURE May 9. Commo  |

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# TECHNOLOGY CENTER MOTOR

## FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the documents is enclosed herewith for the Examiner's consideration.

disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in an assertion that more pertinent art could not possibly be in Citation of any document herein is not to be construed as an admission that any subject matter disclosed in

04/05/2004 SDENBOB1

the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This information disclosure statement is being filed after the first office action but before the meeting date of any final action, a notice of allowance, or an action that otherwise closes prosecution in the application, and it is accompanied by a check in the amount of \$180.00.

Respectfully submitted,

Date: April 1, 2004 By

Gary W McFarron, Esq.

Registration No. 27,357 Cook, Alex, McFarron, Manzo,

Cummings & Mehler, Ltd.

200 West Adams St., Ste. 2850

Chicago, IL 60606

Telephone: (312) 236-8500

Attorneys for Applicant

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known **Application Number** 10/015,868 Filing Date December 12, 2001 First Named Inventor Michael D. Hooven Art Unit 3739 **Examiner Name** Rosiland S. Kearney 0320-0017 Attorney Docket Number

|                       | U. S. PATENT DOCUMENTS   |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (# known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | 1                        | <sup>US-</sup> 6,126,658                                 | 10-3-2000                      | Baker  |   |
|                       |                          | US-  |                                |  |   |
|                       |                          | US-  |                                |  |   |
| ·                     |                          | US-  |                                |  |   |
|                       |                          | US-  |                                |  | DEAL  |
| • • •                 |                          | US-  |                                |  | HECENER   |
| • • •                 |                          | US-  |                                |  | APR 0 7 2004  |
|                       |                          | US-  |                                |  | 2004  |
|                       |                          | US-  |                                | TEC  | HNOLOGY   |
|                       |                          | US-  |                                |  | HNOLOGY CENTER H3700  |
|                       |                          | US-  |                                |  | 100   |

| FOREIGN PATENT DOCUMENTS                |              |   |                  |  |   |          |
|---|--------------|---|------------------|--|---|----------|
| Examiner Initials*                      | Cite<br>No.1 | Foreign Patent Document   | Publication Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |          |
|   |              | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |  | Or Relevant Figures Appear                        | Τ6       |
| *************************************** |              |   |                  |  |   |          |
|   |              |   |                  |  |   | <b> </b> |
| · · · · · · · · · · · · · · · · · · ·   |              |   |                  |  |   | <u>_</u> |
|   |              |   |                  |  |   | -        |
|   |              |   |                  |  |   | <u> </u> |

| Examiner  | Date       |
|-----------|------------|
| Signature | Considered |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.